

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: **HOLLAND EQUIPMENT LIMITED**

For: **HYDRAULIC PLATFORM LIFT INCORPORATING POSITIVE DISPLACEMENT VALVE AND POSITIVE DISPLACEMENT VALVE FOR HYDRAULIC PLATFORM LIFT**

Our File: A882688US

Serial No.: N/A

Examiner: N/A

Filing Date: N/A

Group Art Unit: N/A

DECLARATION ESTABLISHING STATUS AS SMALL ENTITY

Each of the undersigned inventor(s) of the invention disclosed and claimed in the above-captioned application declares as follows:

I am an independent inventor. I have not assigned, granted, conveyed or licensed any rights in the invention to any person who could not likewise be classified as an independent inventor if that person had made the invention, or to any concern which would not qualify as a small business concern or a non-profit organization under 37 CFR 1.9; nor am I under any obligation under contract or law to assign, grant, convey or license any rights in the invention to any such person who would not likewise qualify as an independent inventor, a small business concern or a non-profit organization under 37 CFR 1.9.

All statements made herein of my own knowledge are true, and all statements made on information and belief are believed to be true, and further, that these statements were made with the knowledge that willful false statements, and the like so made, are punishable by fine, or imprisonment, or both, under 18 USC 1001, and may jeopardize the validity of the application, or any patent which may issue thereupon.

Date: 23 May 01

Thomas H Stockmann
Thomas H. Stockmann

APPLICATION FOR UNITED STATES PATENT
Declaration For Patent Application

As a below named inventor, I hereby declare that :
My residence, post office address and citizenship are as stated below next to my name.
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled :

HYDRAULIC PLATFORM LIFT INCORPORATING POSITIVE DISPLACEMENT VALVE AND
POSITIVE DISPLACEMENT VALVE FOR HYDRAULIC PLATFORM LIFT

the specification of which
(check at least one) ☒ is attached hereto.
☐ was filed on _____ as Application Serial No. _____ and was amended on _____

(file : A882688US)

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date earlier than that of the application on which priority is claimed :

Prior Foreign Application(s)

2,345,850
(Number)

Can
(Country)

April 27, 2001
(Day/Month/Year Filed)

Priority Claimed

☒ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

(Number)

(Country)

(Day/Month/Year Filed)

☐ Yes ☐ No

6. TO BE USED ONLY FOR CONTINUING APPLICATION

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application :

(Filing Date) _____ Status (patented, pending, abandoned) _____ (Application Serial No.) _____

(Filing Date) _____ Status (patented, pending, abandoned) _____ (Application Serial No.) _____

I hereby appoint the following as my attorney of record with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office: D. DOAK HORNE, Registration No. 33,105, of Gowling Lafleur Henderson LLP, Suite 1400, 700 - 2nd Street S.W., Calgary, Alberta T2P 4V5, Canada.

All correspondence in connection with this application should be sent to GOWLING LAFLEUR HENDERSON LLP, Suite 1400, 700 - 2nd Street S.W., Calgary, Alberta T2P 4V5, Canada.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

*7. Typewritten Full Name of Sole or First Inventor Thomas H. STOCKMANN
Given Name Middle Name Family Name

*8. Inventor's Signature : Thomas H Stockmann

*9. Date of Signature : May 23 2001
Month Day Year

10. Residence Ingersoll Ontario Canada
City State or Province Country

11. Citizenship : CANADIAN

12. Post Office Address 29 Wadsworth Street, Ingersoll, Ontario N5C 3L3

Insert complete mailing address, including country)

Note to Inventor: Please sign name on line 8 exactly as it appears in line 7 and insert the actual date of signing on line 9. If there is more than one inventor use page 2 and place an "x" here x

BEST AVAILABLE COPY

09873243-060501

Inventor(s): Thomas H. Stockmann

ATTY. DKT. NO.: A882688US

Appln. No.: ___ or Patent No.:

Filed: or Issued:

Title: Hydraulic Platform Lift Incorporating Positive Displacement Valve And Positive Displacement Valve For Hydraulic Platform Lift

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(d) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN Holland Equipment Limited

ADDRESS OF CONCERN 20 Phoebe Street, PO Box 339, Norwich, Ontario
CANADA NOJ 1P0

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.12, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under Section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention entitled: Hydraulic Platform Lift Incorporating Positive Displacement Valve And Positive Displacement Valve For Hydraulic Platform Lift

by inventors(s) Thomas H. Stockmann

- X - ☒ the Specification filed herewith,
one - ☐ Application No. o /, filed_.
box - ☐ Patent No. _, issued_.

If the rights held by the above identified small business concern are not exclusive, each small entity individual, concern or organization having rights to the invention is listed in (A) and (B) below and no rights to the invention are held by any person, other than the inventor, who could not qualify under 37 CFR 1.9(c) as an independent inventor if that person had made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

(A) FULL NAME of assignee/licensee/grantee/conveyee* No other company

X proper box: ☐ INDIVIDUAL ☒ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

*NOTE: Separate verified statement is required from each person, concern or organization named in (A) and (B) above having rights to the invention, averring to his/her/its status as a small entity. (37 CFR 1.27)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such wilful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

TITLE OF PERSON OTHER THAN OWNER President

SIGNATURE Spald Watling DATE 30 May 01

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